# TECHNICAL SPECIFICATION

# **CEN/TS 16555-5**

# SPÉCIFICATION TECHNIQUE

# TECHNISCHE SPEZIFIKATION

December 2014

ICS 03.100.40; 03.100.50

## **English Version**

# Innovation management - Part 5: Collaboration management

Management de l'innovation - Partie 5 : Management de la collaboration

Innovationsmanagement - Teil 5: Management der Zusammenarbeit

This Technical Specification (CEN/TS) was approved by CEN on 27 October 2014 for provisional application.

The period of validity of this CEN/TS is limited initially to three years. After two years the members of CEN will be requested to submit their comments, particularly on the question whether the CEN/TS can be converted into a European Standard.

CEN members are required to announce the existence of this CEN/TS in the same way as for an EN and to make the CEN/TS available promptly at national level in an appropriate form. It is permissible to keep conflicting national standards in force (in parallel to the CEN/TS) until the final decision about the possible conversion of the CEN/TS into an EN is reached.

CEN members are the national standards bodies of Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, Former Yugoslav Republic of Macedonia, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and United Kingdom.



EUROPEAN COMMITTEE FOR STANDARDIZATION COMITÉ EUROPÉEN DE NORMALISATION EUROPÄISCHES KOMITEE FÜR NORMUNG

CEN-CENELEC Management Centre: Avenue Marnix 17, B-1000 Brussels

Cont	ents	Page
Forewo	ord	3
Introdu	ıction	4
1	Scope	5
2	Normative references	5
3	Terms and definitions	5
4	Collaboration	5
4.1	General	
4.2 4.3	Collaboration and its benefits  Types of collaboration	5 6
5	Collaboration at different stages in the innovation process	8
6	Management of collaboration	
6.1	General	9
6.2	Internal collaboration	
6.2.1 6.2.2	Culture Management commitment	
6.2.3	Resources	
6.3	External collaboration	
6.3.1	Criteria for external collaboration	
6.3.2	Finding the right partners	10
6.3.3 6.3.4	The collaboration agreement	
6.3. <del>4</del> 6.3.5	GovernanceIntellectual property	11 14
-		
7 7 4	Collaboration between large and small organizations	12
7.1 7.2	Small organizations	
7.3	Large organizations	12
D	Encouraging collaboration	
o B.1	General	
8.2	Collaboration across time and distance	
Annex	A (informative) Case studies	15
<b>A</b> .1	Case study 1 – An open innovation consortium	
<b>A.2</b>	Case study 2 – Bilateral collaboration	15
<b>A.</b> 3	Case study 3 – A public-private partnership	15
Bibliog	raphy	17

## **Foreword**

This document (CEN/TS 16555-5:2014) has been prepared by Technical Committee CEN/TC 389 "Innovation Management", the secretariat of which is held by AENOR.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN [and/or CENELEC] shall not be held responsible for identifying any or all such patent rights.

This document is not intended for the purpose of certification.

The CEN/TS 16555 series consists of the following parts with the general title *Innovation management*:

- Part 1: Innovation Management System;
- Part 2: Strategic intelligence management;
- Part 3: Innovation thinking;
- Part 4: Intellectual property management;
- Part 5: Collaboration management;
- Part 6: Creativity management;
- Part 7: Innovation management assessment.

Part 7 is in preparation.

According to the CEN-CENELEC Internal Regulations, the national standards organizations of the following countries are bound to announce this Technical Specification: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, Former Yugoslav Republic of Macedonia, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.

# Introduction

Individuals and organizations would be hard pressed to possess all the skills and knowledge necessary to innovate regularly and effectively. Through collaboration it is possible to significantly improve the innovative performance of an organization.

This document describes the reasons to collaborate in different circumstances and the different ways in which organizations can collaborate, and it provides guidance for managing collaboration between individuals, teams and different organizations.

Issues addressed include when, how and with whom to collaborate, different types of collaboration and the difficulties and benefits of doing so. Case studies are included in Annex A to provide insight through the experience of others.



# 1 Scope

This Technical Specification provides guidance for the management of collaboration and productive interaction between individuals, departments, divisions and third party organizations engaged in innovation. It applies to all types of organization including manufacturing and services industries, voluntary organizations, governmental and social enterprise but with a particular focus on small and medium-sized enterprises (SMEs).

This document is one of six parts that support CEN/TS 16555-1 of the series, CEN/TS 16555.

## 2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

CEN/TS 16555-1, Innovation Management — Part 1: Innovation Management System

### 3 Terms and definitions

For the purposes of this document, the terms and definitions given in CEN/TS 16555-1 and the following apply.

#### 3.1

#### bilateral collaboration

collaboration where two partners are involved

#### 3.2

#### consortium

association or combination of multiple partners engaging in a joint venture

## 3.3

#### internal collaboration

collaboration between different individuals or groups within the same organization

#### 3.4

#### open innovation

using external as well as internal ideas, and internal and external paths to market, in order to innovate 1)

## 4 Collaboration

#### 4.1 General

As described in CEN/TS 16555-1, collaboration is often an integral part of an innovation process and, in CEN/TS 16555-1:2013, 7.9 and 11.5 briefly describe collaboration management and how it is possible, through managed collaboration, to significantly improve the innovative performance of an organization. This document provides more detail.

### 4.2 Collaboration and its benefits

Collaboration can allow for the acquisition of new skills and resources. In addition it can bring different groups together, improve the opportunities for successful creativity and innovation, solve problems and help exploit external potential.

<sup>1)</sup> Henry Chesbrough, who is generally credited with inventing the paradigm, defines it as 'use of purposive inflows and outflows of knowledge to accelerate innovation'.